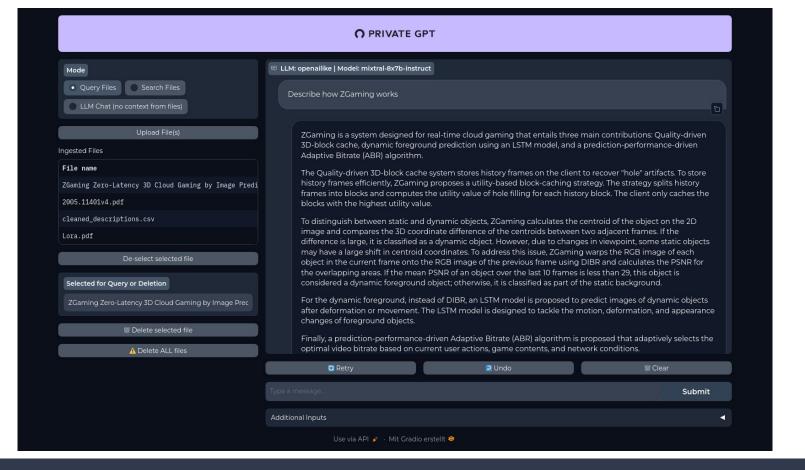
GöAID: Introduction to Retrieval Augmented Generation (RAG) and PrivateGPT

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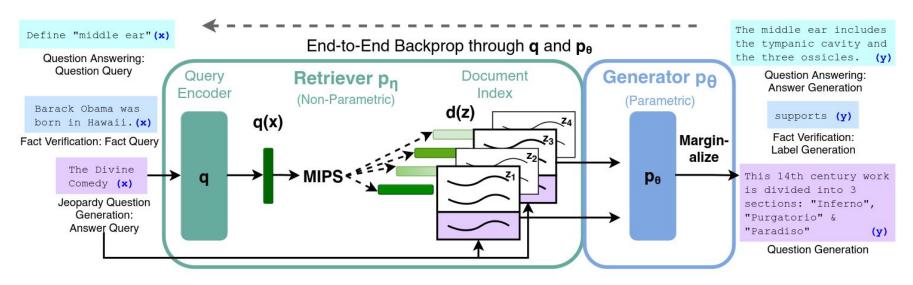


Figure 1: Overview of our approach. We combine a pre-trained retriever ($Query\ Encoder + Document\ Index$) with a pre-trained seq2seq model (Generator) and fine-tune end-to-end. For query x, we use Maximum Inner Product Search (MIPS) to find the top-K documents z_i . For final prediction y, we treat z as a latent variable and marginalize over seq2seq predictions given different documents.

Capabilities of RAG

- Access to external domain-specific knowledge (including confidential information)
- Faster knowledge updating
- Improved performance on knowledge-intensive tasks
- Reduced resource requirements compared to other fine-tuning methods
- Dynamic memory access
- Differentiable access mechanism
- Provenance

Limitations of RAG

- Performance lags behind task-specific architectures
- Dependence on a pre-trained neural retriever
- Limited to extractive downstream tasks, limited reasoning capability (no iterative reasoning)
- Retrieval challenges

Live Demo

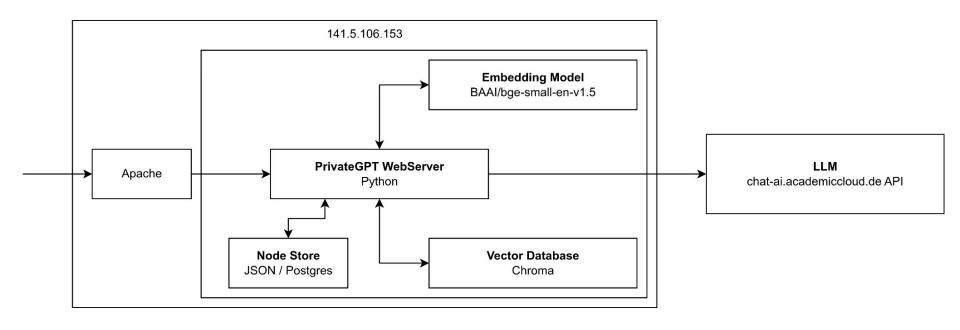
http://141.5.106.153/

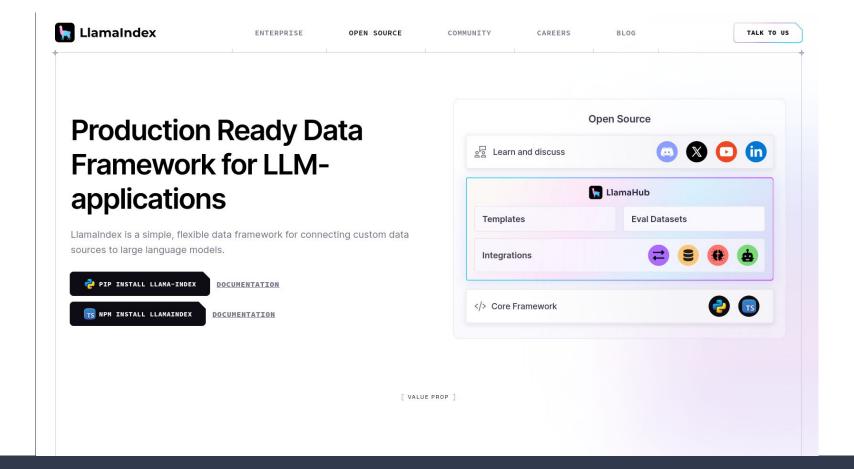
Username: gwdg

Password: ragservice

Live Demo

- No RAG:
 - Write a poem about unicorns in the sky
 - Describe how ZGaming works
- Query files:
 - o 3603269.3604819-repaired.pdf
 - Describe how ZGaming works
 - Lora.pdf
 - Summarize the 5 most important points from the paper in 200 words or less.
 - How does the low-rank adaptation work?
- Search files:
 - What are the limitations of ZGaming?
- Upload a pdf file



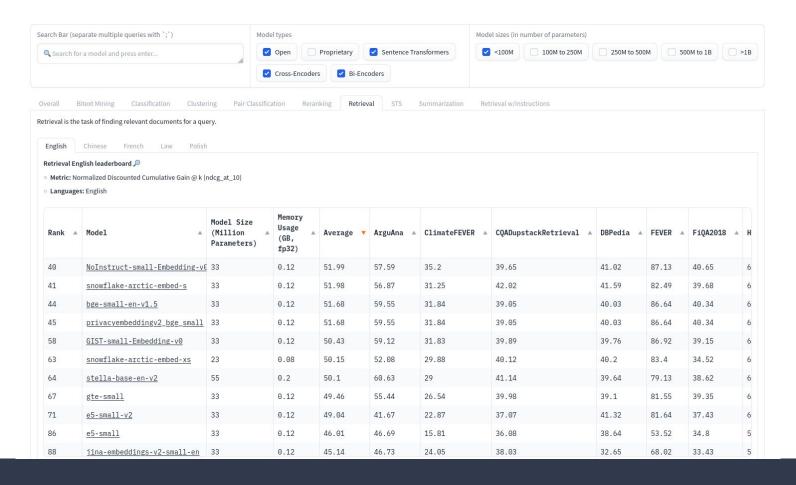


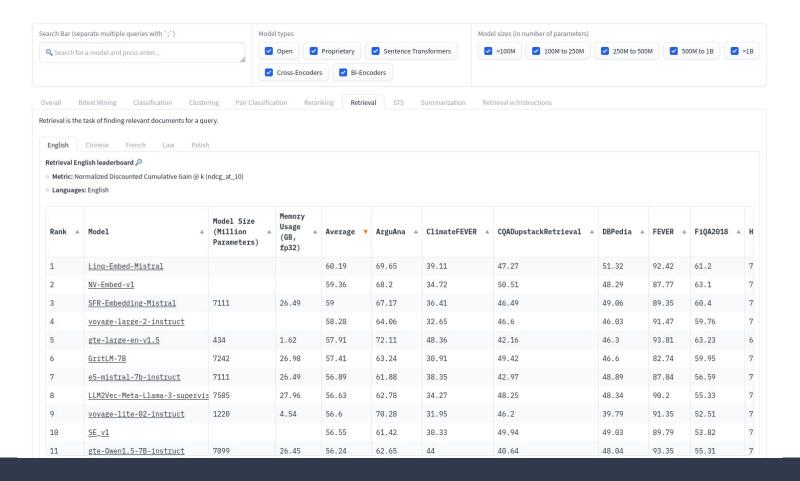
OpenAI-like API

- https://api.openai.com
 - v1/completions
 - v1/chat/completions
 - v1/embeddings
 - o ...
- https://chat-ai.academiccloud.de
 - v1/completions
 - v1/chat/completions

Current setup

- **LLM:** mixtral-8x7b-instruct
 - o using chat-ai.academiccloud.de
- Vector Database: Chroma
- Node Store: simple (.json files)
- Embedding Model: BAAI/bge-small-en-v1.5
 - o supports any HuggingFace compliant implementation
 - ONNX model
 - 33 million parameters, 133 MB model size
 - Embedding dimension: 384
 - English only (Multilingual variants exist)
 - Rank 44 in MTEB (Retrieval Task, English)
 - o Top 2 documents used
- Supported file formats: Text files (.txt, .md, .csv, .json, ...), .pdf, .docx, .pptx, .ppt, .pptm, .epub, .mbox, .ipynb, .hwp





Additional features

- Bulk Local Ingestion via CLI tool (+ watch changes in directory)
- Reranking of documents
 - Query top k documents to consider for reranking, filter out k-n documents, use only top n documents in response generation (e.g. cross-encoder/ms-marco-MiniLM-L-2-v2)
- Support for audio files (.mp3, .mp4) using OpenAI Whisper model
- Additional configuration:
 - Threshold for RAG: rag.similarity_value
 - o Ingest mode: simple, batch, parallel, pipeline

Limitations of the current setup

- Performance & Scalability:
 - Embedding model running on the CPU and on the same node as the webserver
 - → Support the /v1/embeddings API for chat-ai.academiccloud.de
 - Vector database running on the same node as the webserver
 - Sequential ingesting of documents
- Security & Privacy:
 - \circ No separation of data between different users in PrivateGPT
 - o Embedding model and vector database running in the same process as the webserver
- No model selection in the UI

Thank you for listening